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| SECURITIES AND FUTURES ACT  (Cap. 289)  SECURITIES AND FUTURES  (LICENSING AND CONDUCT OF BUSINESS)  REGULATIONS | **FORM**  **10** |
| **NOTICE OF CHANGE OF NAME AND/OR CHANGE OF PRINCIPAL PLACE OF BUSINESS**  **UNDER SECTION 93(1)(b)**   |  |  | | --- | --- | | **Name of holder of capital markets services licence (the “licensee”) as stated in its licence with the Monetary Authority of Singapore (the “Authority”)\*** | Click or tap here to enter text. | | **Licence number of licensee** | Click or tap here to enter text. | | |
| Explanatory Notes | |
| 1. Fields marked with an asterisk (\*) must be filled. 2. Form 10 should be lodged online via [FormSG](https://form.gov.sg/6360c81580d53e001218e488). After submission, FIs may view a record of their submission by logging into [MAS-Tx](https://eservices.mas.gov.sg/mastx/login). | |

SECTION 1: CHANGE IN NAME AND CONTACT DETAILS OF THE LICENSEE

* 1. Please check the box if you are notifying us of any changes under this section.
  2. Update information in the table below as relevant.

|  |  |  |
| --- | --- | --- |
| **Category** | **New information** | **Effective date of change** |
| **New name of licensee** | Click or tap here to enter text. | Click or tap to enter a date. |

SECTION 2: CHANGE IN PRINCIPAL PLACE OF BUSINESS OF THE LICENSEE

2.1  Please check the box if you are notifying us of any changes under this section.

2.2 Update information in the table below as relevant

|  |  |  |
| --- | --- | --- |
| **Category** | **New information** | **Effective date of change** |
| **New principal place of business** | Click or tap here to enter text. | Click or tap to enter a date. |

SECTION 3: DECLARATION

**Attach a signed and scanned or pdf copy of this Declaration when submitting this Form electronically. Electronic signatures may be provided in lieu of physical signatures.**

**The Declaration must be signed by a director of the licensee.**

As director of\*

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| (Name of the licensee) Click here to enter text. |

I declare that I am fully aware that section 329(1), (3) and (4) of the Securities and Futures Act (Cap. 289) provides as follows\*:

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| “ANY PERSON WHO FURNISHES THE AUTHORITY WITH ANY INFORMATION UNDER THIS ACT SHALL USE DUE CARE TO ENSURE THAT THE INFORMATION IS NOT FALSE OR MISLEADING IN ANY MATERIAL PARTICULAR.  ANY PERSON WHO –   1. SIGNS ANY DOCUMENT LODGED WITH THE AUTHORITY; OR 2. LODGES WITH THE AUTHORITY ANY DOCUMENT BY ELECTRONIC MEANS USING ANY INFORMATION OR IDENTIFICATION CODE, PASSWORD OR OTHER AUTHENTICATION METHOD OR PROCEDURES ASSIGNED TO HIM BY THE AUTHORITY,   SHALL USE DUE CARE TO ENSURE THAT THE DOCUMENT IS NOT FALSE OR MISLEADING IN ANY MATERIAL PARTICULAR.  ANY PERSON WHO CONTRAVENES SUBSECTION (1) OR (3) SHALL BE GUILTY OF AN OFFENCE AND SHALL BE LIABLE ON CONVICTION TO A FINE NOT EXCEEDING $50,000 OR TO IMPRISONMENT FOR A TERM NOT EXCEEDING 2 YEARS OR TO BOTH”. |

I declare that all information given in this Form, including all supporting documents and attachments (if any), is true and correct.\*

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| --- | --- |
| Signature\*: |  |
| Designation:\*: | Director |
| Name\*: | Click here to enter text. |
| Date\*: | Click or tap to enter a date. |
|  | (DD/MM/YYYY) |